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# Metal–ligand bonding and rutile- versus CdI<sub>2</sub>-type structural preference in platinum dioxide and titanium dioxide

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### Abstract

First principles electronic structure calculations were carried out to determine the relative stabilities of the rutile- and CdI<sub>2</sub>-type structures of platinum dioxide (PtO<sub>2</sub>) and titanium dioxide (TiO<sub>2</sub>). The orbital interactions between the transition metal *d*- and oxygen *p*-orbitals were analyzed to gain insight into why PtO<sub>2</sub> has both the rutile- and CdI<sub>2</sub>-type structures, but TiO<sub>2</sub> has only the rutile-type structure. The cause for the large difference in the c/a ratios of the CdI<sub>2</sub>-type structures of TiO<sub>2</sub> and PtO<sub>2</sub> was examined. © 2003 Elsevier Inc. All rights reserved.

### 1. Introduction

What electronic factors are responsible for a transition metal dioxide  $MO_2$  to adopt a three-dimensional (3D) or a two-dimensional (2D) layered structure is an interesting and important question. The rutile-type structure is one of several 3D structures that MO<sub>2</sub> can have. In this structure the  $MO_6$  octahedra form edgesharing  $MO_4$  chains Fig. 1a, these chains are condensed such that the apical oxygen atoms of one  $MO_4$  chain become the equatorial oxygen atoms of two adjacent  $MO_4$  chains Fig. 1b, and every four  $MO_4$  chains form a 1D channel Fig. 1c. In the CdI<sub>2</sub>-type layered structure, edge-sharing  $MO_6$  octahedra Fig. 2a form  $MO_2$  layers, and these layers are stacked to form a 3D structure Fig. 2b so that the sheets of oxygen atoms face each other between the layers. Platinum dioxide (PtO2) has both the CdI<sub>2</sub>-type ( $\alpha$ -PtO<sub>2</sub>, regular form) [1] and the rutile-type ( $\beta$ -PtO<sub>2</sub>, high-pressure form) [2] structures, whereas titanium dioxide (TiO<sub>2</sub>) has only the rutile structure [3].

Binary oxides of highly electropositive transition metal elements are strongly ionic and adopt 3D structures in which repulsion between  $O^{2-}$  anions is reduced. Low-dimensional oxide structures are stabi-

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lized by separating  $O^{2-}$  anions with counter cations (e.g., Na<sub>x</sub>CoO<sub>2</sub>, K<sub>0.3</sub>MoO<sub>3</sub>, etc.) or by use of transition elements with high oxidation state (e.g., MoO<sub>3</sub>, V<sub>2</sub>O<sub>5</sub>, etc.) [4]. Thus, it is understandable that TiO<sub>2</sub> has only a 3D structure because of the large electronegativity difference between Ti and O (1.54 vs. 3.44). The driving force to adopt a 3D structure would be weaker in PtO<sub>2</sub> due to the smaller electronegativity difference between Pt and O (2.28 vs. 3.44). Nevertheless, one may wonder why PtO<sub>2</sub> can have a 2D layered structure despite the expected enhancement of anion–anion repulsion. It should be noted that a CdI<sub>2</sub>-type layered structure is also found for metastable CoO<sub>2</sub> and NiO<sub>2</sub> phases obtained by electrochemical deintercalation of lithium from LiNi<sub>1-x</sub>Co<sub>x</sub>O<sub>2</sub> [5,6].

The electronic structures and chemical bonding of rutile-type compounds have been analyzed on the basis of electronic band structure calculations [7]. There are several 3D polymorphs of a transition metal binary oxide  $MO_2$ , which include the rutile-, CaCl<sub>2</sub>-, marcasiteand anatase-type phases. The relative stabilities of these 3D polymorphs and the mechanism for the phase transition between them are important issues. Answers to these questions have been provided for a few oxides in terms of electronic band structure studies [8,9]. In the present work, we probe why both rutile- and CdI<sub>2</sub>-type (i.e., 3D and 2D) structures are possible for PtO<sub>2</sub> while the CdI<sub>2</sub>-type structure is not feasible for TiO<sub>2</sub> on the basis of first principles electronic structure calculations.

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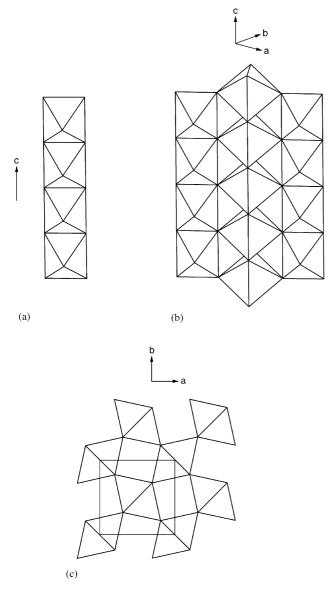


Fig. 1. Rutile-type  $MO_2$  structure: (a) Schematic polyhedral view of a single  $MO_4$  chain made up of edge-sharing  $MO_6$  octahedra. (b) Schematic polyhedral view of how  $MO_4$  chains condense in the rutile-type structure of  $MO_2$ . (c) Projection view of  $MO_4$  chains along the chain direction.

To gain insight into what electronic features are responsible for the structural and electronic difference between  $PtO_2$  and  $TiO_2$ , we then analyze the orbital interactions between the transition metal *d*- and oxygen *p*-orbitals.

### 2. Calculations

The cell parameters and the atom positions for the rutile- and  $CdI_2$ -type structures of  $PtO_2$  and  $TiO_2$  were optimized on the basis of electronic band structure calculations using the Vienna ab initio simulation

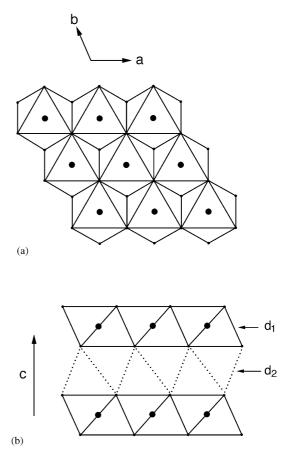


Fig. 2.  $CdI_2$ -type  $MO_2$  structure: (a) Schematic projection view of a single  $MO_2$  layer along the *c*-direction. (b) Schematic perspective view of adjacent  $MO_2$  layers in the CdI<sub>2</sub>-type structure of  $MO_2$ .

package (VASP) [10–12], which is based on the density functional theory within the local-density approximation. The present VASP calculations employed the projector augmented wave method [13], a finite temperature density functional approximation, an optimized mixing routine and a conjugate gradient scheme. All calculations were performed using the generalizedgradient approximation [14].

First principles full potential linearized plane wave (FP-LAPW) calculations with non-spin polarization were carried out for the rutile and CdI<sub>2</sub> structures of PtO<sub>2</sub> and TiO<sub>2</sub> (determined from the VASP calculations) using the WIEN2k program package [15] within the generalized-gradient approximation [16] for the exchange-correlation energy. We employed the muffin–tin radii of 2.32 au for Pt, 2.19 au for Ti, and 1.56 au for O. The basis set cut-off parameters were  $G_{\text{max}} = 14 \text{ Bohr}^{-1}$  and  $R_{\text{mt}}K_{\text{max}} = 7$  ( $G_{\text{max}}$  controls the plane wave cut-off used in the charge density Fourier expansion, and  $R_{\text{mt}}K_{\text{max}}$  defines the plane wave basis set used to describe the wave function outside the muffin–tins). Integrations over the irreducible wedge of

the Brillouin zone were performed using a 2500k-point regular mesh.

### 3. Results

The structural data determined by VASP calculations are summarized in Table 1a-d, where the experimental values of the cell parameters and atom coordinates of the observed structures of PtO<sub>2</sub> and TiO<sub>2</sub> are given in parentheses. The structures optimized by VASP calculations are in good agreement with the available experimental data. It is noted that in Ref. [1], the *z* coordinate of O in  $\alpha$ -PtO<sub>2</sub> was not experimentally determined but was arbitrary fixed at  $\frac{1}{4}$ .

The relative energies of the rutile- and  $CdI_2$ -type structures for  $PtO_2$  and  $TiO_2$  (per formula unit) determined by VASP calculations are listed in Table 2, which also lists the relative energies determined by FP-LAPW calculations for the structures obtained from

Table 1

Crystal structures of the rutile- and  $CdI_2$ -type structures of  $PtO_2$  and  $TiO_2$  determined by VASP calculations<sup>a</sup>

(a)Rutile-type PtO<sub>2</sub><sup>b</sup>

SG: *Pnnm*; a = 4.5986 (4.488) Å, b = 4.5717 (4.533) Å, c = 3.1910 (3.138) Å

Atom coordinates: Pt (0, 0, 0); O (0.2571 (0.2670), 0.3633 (0.3500), 0)

(b) CdI<sub>2</sub>-type PtO<sub>2</sub><sup>c</sup>

SG:  $P\bar{3}m1$ ; a = 3.1644 (3.100) Å, c = 4.3241 (4.161) Å Atom coordinates: Pt (0, 0, 0); O (1/3, 2/3, 0.2190 (0.25<sup>d</sup>))

(c) Rutile-type  $TiO_2^e$ 

SG:  $P4_2/mnm$ ; a = 4.6536 (4.5937)Å, c = 2.9713 (2.9587)Å Atom coordinates: Ti (0,0,0), (1/2, 1/2, 1/2); O (x, x, 0), (1/2 + x, 1/2 - x, 1/2) with x = 0.3044 (0.30499)

(d) CdI2-type TiO2

SG:  $P\bar{3}m1$ ; a = 2.9962 Å, c = 5.0806 Å

Atom coordinates: Ti (0,0,0); O (1/3,2/3,0.1923)

<sup>a</sup> The experimental values are given in italics in parentheses.

<sup>b</sup>The experimental structure was taken from Ref. [2].

<sup>c</sup>The experimental structure was taken from Ref. [1].

<sup>d</sup>The assumed value.

<sup>e</sup>The experimental structure was taken from Ref. [3].

Table 2

Relative energies (eV) per formula unit determined by VASP and WIEN2k calculations for the rutile- and CdI<sub>2</sub>-type structures of  $PtO_2$  and  $TiO_2^a$ 

Compound	Structure type	VASP	WIEN2k
PtO <sub>2</sub>	Rutile	0.000	0.000
	CdI <sub>2</sub>	0.067	0.013
TiO <sub>2</sub>	Rutile	0.000	0.000
	CdI <sub>2</sub>	0.339	0.350

<sup>a</sup> For each compound, the energy of the rutile structure was taken as the reference.

VASP calculations. The rutile- and CdI<sub>2</sub>-type structures are almost equally stable for  $PtO_2$  (the energy difference lies within the computational error, which is estimated to be about 10 meV/atom), but the rutile structure is considerably more stable than the CdI<sub>2</sub>-type structure for TiO<sub>2</sub>. These results are consistent with the experimental observations that  $PtO_2$  has the CdI<sub>2</sub>- and rutiletype structures, while TiO<sub>2</sub> has only the rutile structure.

Fig. 3 shows the total density of states (DOS) and the partial DOS of the metal *d*-orbitals obtained for the rutile- and CdI<sub>2</sub>-type structures of TiO<sub>2</sub> using FP-LAPW calculations. The corresponding DOS plots for the rutile- and CdI<sub>2</sub>-type structures of PtO<sub>2</sub> are shown in Fig. 4. In capturing the essential features of these DOS plots, it is convenient to consider the oxidation states of the metal atoms. With the oxidation state  $O^{2-}$  for oxygen, the oxidation states for platinum and titanium are given by Pt<sup>4+</sup> (*d*<sup>6</sup>) and Ti<sup>4+</sup> (*d*<sup>0</sup>), respectively. This ionic electron counting scheme predicts that both the  $t_{2g}$ - and  $e_g$ -block bands are empty in TiO<sub>2</sub>, and that the  $t_{2g}$ -block bands are consistent with the total and partial DOS plots shown in Figs. 3 and 4.

Fig. 3 shows that the  $t_{2g}$ - and  $e_g$ -block bands of TiO<sub>2</sub> are well separated in energy in the CdI<sub>2</sub>-type structure, but almost overlap in the rutile structure because they are wider in the rutile structure than in the CdI<sub>2</sub>-type structure. The DOS for the occupied bands of TiO<sub>2</sub> is wider and has a more even distribution in the rutile structure than in the CdI<sub>2</sub>-type structure than in the CdI<sub>2</sub>-type structure than in the CdI<sub>2</sub>-type structure than in the rutile structure than in the CdI<sub>2</sub>-type structure.

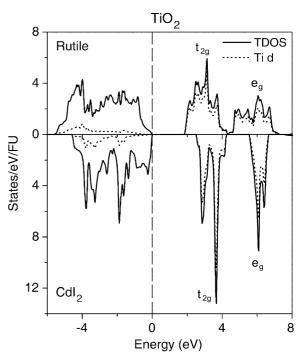


Fig. 3. Plots of the total DOS (solid line) and the Ti 3*d*-orbital contributions (dashed line) calculated for the rutile- and  $CdI_2$ -type structures of TiO<sub>2</sub> obtained by FP-LAPW calculations.

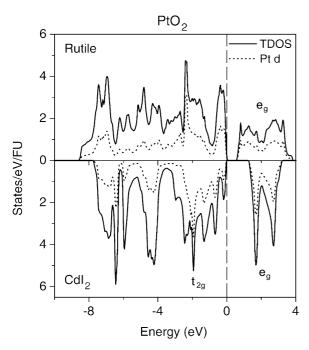


Fig. 4. Plots of the total DOS (solid line) and the Pt 5*d*-orbital contributions (dashed line) calculated for the rutile- and  $CdI_2$ -type structures of PtO<sub>2</sub> obtained by FP-LAPW calculations.

that the  $e_g$ -block bands of PtO<sub>2</sub> are wider in the rutiletype structure than in the CdI<sub>2</sub>-type structure. The DOS for the occupied bands of PtO<sub>2</sub> is wider and has a more even distribution in the rutile structure than in the CdI<sub>2</sub>type structure. For the CdI<sub>2</sub>-type structure of PtO<sub>2</sub>, the  $t_{2g}$ -block bands can be assigned to the energy region between -3 and 0 eV. Such an assignment is not possible for the rutile-type structure of PtO<sub>2</sub>, because the *d*orbital contribution is more evenly distributed in the occupied energy region and because the oxygen s/pblock bands are merged with the platinum  $t_{2g}$ -block bands. It is clear from the DOS curves of Figs. 3 and 4 that the mixing between the metal *d*-orbitals and the oxygen s/p-orbitals is much stronger in PtO<sub>2</sub> than in TiO<sub>2</sub>.

## 4. c/a ratios for the CdI<sub>2</sub>-type structures of $MO_2(M = Ti, Pt)$

Some geometrical parameters for the CdI<sub>2</sub>-type structures of  $MO_2$  (M=Ti, Pt) are summarized in Table 3. Our calculations reproduce the low c/a ratio of PtO<sub>2</sub> (~1.34), and the high c/a ratio (~1.67) expected for TiO<sub>2</sub> on the basis of hexagonal close packing of O<sup>2-</sup> anions. These results are consistent with the experimental observations for the corresponding layered sulfides, i.e., c/a = 1.42 for PtS<sub>2</sub> [17] and c/a = 1.67 for TiS<sub>2</sub> [18]. Our recent study [19] showed that the small c/a value in the CdI<sub>2</sub>-type PtO<sub>2</sub>'s and dichalcogenides

Pt $Q_2$  (Q = O, S, Se, Te) is primarily caused by the overlap repulsion between the in-plane *np*-orbitals of Q within each sheet of the ligand atoms Q, i.e., the two-orbital four-electron destabilizing orbital interaction [20] between the filled in-plane *np*-orbitals. Thus, a smaller Pt-Q bond results in a smaller c/a ratio [19].

To understand the large difference in the c/a ratios of TiO<sub>2</sub> and PtO<sub>2</sub>, we examine the intra-layer O···O (between sheets of oxygen atoms) distance  $d_1$  and the inter-layer O···O distance  $d_2$  Fig. 2, Table 3. The  $d_1/a$ ratios of TiO<sub>2</sub> and PtO<sub>2</sub> are both smaller than 1 (i.e., 0.869 and 0.832, respectively), namely, both TiO<sub>6</sub> and PtO<sub>6</sub> octahedra are squashed along the *c*-axis. The extent of this flattening is only slightly larger in PtO<sub>2</sub>. However, the  $d_2/a$  ratio is much larger in TiO<sub>2</sub> than in PtO<sub>2</sub> (i.e., 1.208 vs. 0.961). Thus, the primary cause for the large c/a ratio of TiO<sub>2</sub> and the small c/a ratio of PtO<sub>2</sub> lies in the fact that the inter-layer O···O distance

Table 3

Geometrical parameters in the CdI<sub>2</sub>-type structures of  $MO_2$  (M = Ti, Pt) obtained by VASP calculations<sup>a</sup>

	TiO <sub>2</sub>	PtO <sub>2</sub>
М-О	1.984	2.058
a	2.996	3.164
$d_1$ (intra-layer O····O)	2.602	2.632
$d_2$ (inter-layer O····O)	3.582	3.040
c/a	1.696	1.366
$d_1/a$	0.869	0.832
$d_2/a$	1.208	0.961

<sup>a</sup> Lengths are given in Å.

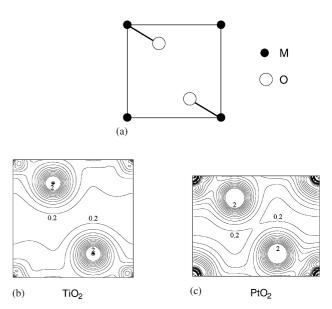


Fig. 5. (a) Schematic diagram shown a cross-section plane of the CdI<sub>2</sub>type structure of  $MO_2$  (M = Ti, Pt) that is parallel to the (110) plane and contains M-O bonds. The contour plots of the valence electronic density distribution on this plane calculated for TiO<sub>2</sub> and PtO<sub>2</sub> are shown in (b) and (c), respectively. The contour values vary from  $0.2 e^{-}/Å^{3}$  to  $2.0 e^{-}/Å^{3}$  with the interval of  $0.15 e^{-}/Å^{3}$ .

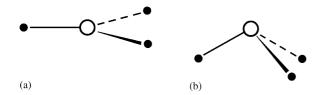
 $d_2$  is large in TiO<sub>2</sub>, but small in PtO<sub>2</sub>. To account for this difference, we recall that the Ti–O bond is more strongly ionic than is the Pt–O bond. In terms of electron density distribution, the anionic character of oxygen is much stronger in TiO<sub>2</sub> than in PtO<sub>2</sub>. Consequently, the anion-anion repulsion between adjacent sheets of O atoms is stronger in TiO<sub>2</sub> than in PtO<sub>2</sub>, so that the CdI<sub>2</sub>-type structure of TiO<sub>2</sub> has a larger interlayer spacing and hence a larger c/a ratio. Fig. 5 compares the valence

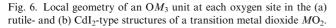
electron density distribution in the CdI<sub>2</sub>-type structures of TiO<sub>2</sub> and PtO<sub>2</sub>. As expected from the above discussion, Fig. 5 shows that each Pt–O bond has a stronger covalent character than does each Ti–O bond, and the oxygen atom has a stronger ionic character in TiO<sub>2</sub> than in PtO<sub>2</sub>.

#### 5. Structural preference and orbital interactions

We now analyze the differences in the structural preference of PtO2 and TiO2 from the viewpoint of interactions between the metal *d*-orbitals and the oxygen *p*-orbitals. In the rutile-type structure, each oxygen atom and its three adjacent metal atoms form an  $OM_3$ triangle with oxygen at the center Fig. 6a in which  $\angle M - O - M = 98.2^{\circ}$  and  $130.9^{\circ}$  (×2) from the structure optimized by the VASP calculations for  $\beta$ -PtO<sub>2</sub>. In the CdI<sub>2</sub>-type structure, each oxygen atom and its three adjacent metal atoms form an  $OM_3$  trigonal pyramid with oxygen at the apex Fig. 6b in which  $\angle M - O - M = 99.7^{\circ}$  (  $\times$  3) from the structure optimized by the VASP calculations for  $\alpha$ -PtO<sub>2</sub>. This structural difference in the local structures around each oxygen atom has a profound consequence on the metal-ligand bonding and structural preference in PtO<sub>2</sub> and TiO<sub>2</sub>.

For simplicity, let us suppose that a given trigonal planar  $OM_3$  unit of the rutile-type structure Fig. 6a is contained in the *xy*-plane with one O-*M* bond aligned along the *x*-axis as shown in Fig. 7. Then the *xz*-orbital of *M* has a strong  $\pi$ -interaction with the *z*-orbital of O Fig. 7a, the *xy*-orbital of *M* has a strong  $\pi$ -interaction with the *y*-orbital of O Fig. 7b, and the  $x^2-y^2$ -orbital of *M* has a strong  $\sigma$ -interaction with the *x*-orbital of O Fig. 7c. By symmetry, the  $z^2$ - and *yz*-orbitals of *M* cannot interact with the *p*-orbitals of O Figs. 7d and e. The occurrence of such strong  $\pi$ - and strong





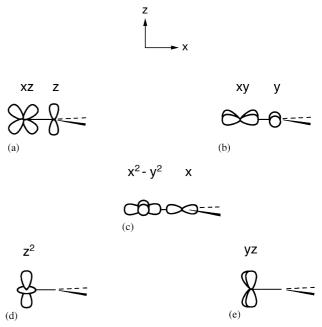


Fig. 7. Overlap between the *d*-orbitals of M and *p*-orbitals of O in the rutile-type structure of  $MO_2$ .

 $\sigma$ -interactions is energetically favorable when they are two-orbital two-electron stabilizing interactions [15], namely, when the *d*-orbitals of  $d^0$  ions interact with the *p*-orbitals of  $O^{2-}$  ions. This is the case for the rutile structure of TiO<sub>2</sub>. In the trigonal pyramidal  $OM_3$ geometry of the CdI<sub>2</sub>-type structure Fig. 6b, each dorbital of *M* can interact with one of the *p*-orbitals of O due to the lowering of the symmetry. The  $\pi$ -interactions involving the xz- and xy-orbitals of M are strongly reduced in strength, and so is the  $\sigma$ -interaction involving the  $x^2 - y^2$ -orbital of M. The  $z^2$ -orbital of M will gain weak  $\sigma$ -interactions with the z and x-orbitals of O, and the *yz*-orbital of M a weak  $\pi$ -interaction with the y-orbital of O. All these interactions are stabilizing for the case of  $TiO_2$ . However, the overall strength of the two-orbital two-electron stabilizing interactions should be much stronger in the rutile structure than in the CdI<sub>2</sub>type structure, because  $TiO_2$  is much more stable in the rutile structure than in the CdI<sub>2</sub>-type structure in terms of total energy calculations.

From the viewpoint of the ionic electron counting scheme, each  $Pt^{4+}$  ion of  $PtO_2$  has six *d*-electrons so that not all the orbital interactions of the  $Pt^{4+}$  ion *d*-orbitals with the  $O^{2-}$  ion *p*-orbitals are stabilizing interactions. In the case of the trigonal planar  $OM_3$  geometry of the rutile-type structure Fig. 6a, it should be noted that the  $\pi$ -interactions of Figs. 7a and b lead to the  $t_{2g}$ -block bands, and the  $\sigma$ -interaction of Fig. 7c to the  $e_g$ -block bands. In the case of  $PtO_2$ , the  $t_{2g}$ -block bands are filled while the  $e_g$ -block bands are empty. Therefore, the two  $\pi$ -interactions of Figs. 7a and b become two-orbital four-electron destabilizing interactions [20], while the  $\sigma$ -interaction of Fig. 7c is a two-orbital two-electron stabilizing interaction. When the local geometry around oxygen is converted to the trigonal pyramidal  $OM_3$ geometry of the CdI<sub>2</sub>-type structure Fig. 6b, the two-orbital four-electron destabilizing  $\pi$ -interactions of Figs. 7a and b are significantly weakened in strength, and so is the two-orbital two-electron stabilizing  $\sigma$ interaction of Fig. 7c. In addition, the  $z^2$ -orbital of Pt<sup>4+</sup> will induce weak  $\sigma$ -interactions (stabilizing) with the xand z-orbitals of  $O^{2-}$ , and the yz-orbital of  $Pt^{4+}$  a weak  $\pi$ -interaction (destabilizing) with the y-orbital of  $O^{2-}$ . Namely, PtO<sub>2</sub> has strong destabilizing  $\pi$ - and strong stabilizing  $\sigma$ -interactions in the rutile-type structure, while these interactions are reduced in strength in the CdI<sub>2</sub>-type structure. Therefore, there will be no strong structural preference between the rutile- and CdI<sub>2</sub>-type structures in the case of PtO<sub>2</sub>. This is consistent with the experimental and theoretical observations.

Finally, it is noted that the DOS for the occupied bands of TiO<sub>2</sub> is wider, and the  $t_{2g}$ - and  $e_g$ -block bands of TiO<sub>2</sub> are wider, in the rutile structure than in the CdI<sub>2</sub>-type structure because the  $\pi$ - and  $\sigma$ -interactions are stronger in the rutile-type structure Fig. 3. The same is also found for PtO<sub>2</sub> Fig. 4. The energy difference between the Pt 5d- and O 2p-orbital is smaller than that between the Ti 3d- and O 2p-orbitals, so that the orbital interactions between them are stronger in PtO<sub>2</sub>. This explains why the partial DOS for the Pt 5d-orbitals is distributed almost evenly in the occupied region.

### 6. Concluding remarks

Our first principles electronic structure calculations reveal that the rutile- and CdI<sub>2</sub>-type structures are almost equally stable for  $PtO_2$ , but the rutile structure is considerably more stable than the CdI<sub>2</sub>-type structure for TiO<sub>2</sub>. These results are consistent with the available experimental observations. Our analysis of orbital interactions shows that PtO<sub>2</sub> has strong destabilizing  $\pi$ - and strong stabilizing  $\sigma$ -interactions in the rutile-type structure, and both interactions are reduced in strength in the CdI<sub>2</sub>-type structure such that there is no strong structural preference between the rutile- and CdI<sub>2</sub>-type structures in the case of  $PtO_2$ . The primary cause for making the CdI<sub>2</sub>-type structure accessible for PtO<sub>2</sub> is that the  $t_{2q}$ -block levels of each transition metal ion are occupied. A similar situation occurs in the metastable  $NiO_2$  and  $CoO_2$  phases obtained by the electrochemical deintercalation of lithium from  $LiNi_{1-x}Co_xO_2$ , which are found to crystallize in the CdI<sub>2</sub>-type layered structure. The c/a ratio of the CdI<sub>2</sub>-type structure is much larger in TiO<sub>2</sub> than in PtO<sub>2</sub> because the anionic character of oxygen is much stronger in TiO<sub>2</sub> than in PtO<sub>2</sub> so that the anion-anion repulsion between adjacent sheets of O atoms is stronger in TiO<sub>2</sub> than in

PtO<sub>2</sub>. Thus, the present work indicates that the stabilization of lamella oxides of transition element is feasible if the M-O bond is substantially covalent, which occurs for late transition elements. As discussed above, such oxides have a delicate balance between metal-oxygen and oxygen-oxygen orbital interactions, and hence their synthesis would require soft chemistry routes.

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